

# Duality theory as a Rosetta Stone

*or*

*Using duality theory to export methods from  
modal logic*

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# Overview

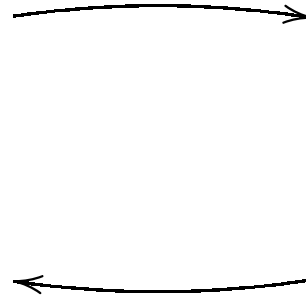
0. Introduction
1. Reiterman Theorem and classes of regular languages
2. Relational semantics for substructural logics
3. Extended Stone duality and canonical extension

# Modal Logic

*Syntax*

*Semantics*

Specification language  
to talk about  
transition systems



internal behavior  
of  
transition systems

The **logical completeness** between modal logic (ML) and state space semantics is at the base of the success of ML

# Contributing factors

## *for the success of ML*

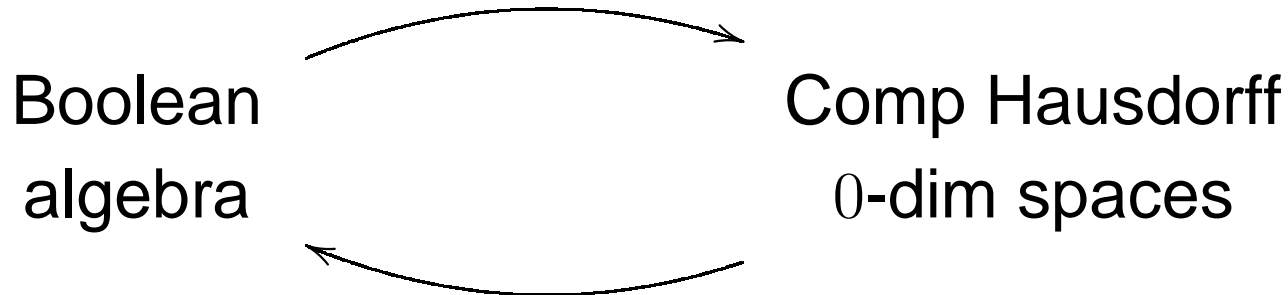
- Various decidability results
- A modular theory for a hierarchy of useful languages
- A highly advanced and extensive theory including constructions, theorems, and concepts

# Thesis

- (1) The completeness between syntax and semantics in ML is a special case of **EXTENDED** Stone duality
- (2) With a good understanding of this extended duality one may see that the extensive and powerful model theory of ML is actually available **VERY WIDELY**

# Stone duality

Representation theory for Boolean algebra



$$A \longleftarrow (\mathcal{P}(X), \cap, \cup, ( \ )^c, \emptyset, X)$$

At the heart of the logical completeness relation between **syntactic specification** and **state space semantics**

# Additional operations/properties

$\rightarrow$  in IPC  $\iff$  partial order  $\leq$  with properties

$\Box$  in ML  $\iff$  Kripke relation  $R$

$\Box(\phi) \rightarrow \Box(\Box(\phi))$   $\iff$   $R$  is transitive

How does this fit in the general duality picture?

**EXTENDED Stone duality**

We start with two applications of this thesis before looking into the technical content of our Rosetta Stone

# Overview

## 0. Introduction

1. Reiterman Theorem and classes of regular languages  
[G, Grigorieff & Pin; ICALP'08]
2. Relational semantics for substructural logics
3. Extended Stone duality and canonical extension



# Reiterman Theorem

A class  $\mathcal{W}$  of **finite algebras** of a variety  $\mathcal{V}$  is closed under

- **homomorphic images**,
- **subalgebras**,
- **FINITE products**,

if and only if  $\mathcal{W}$  may be specified by a set of equations  $u \approx v$  where  $u$  and  $v$  are **pseudoterms** over  $\mathcal{V}$ .

(The set of pseudoterms is  $\widehat{F_{\mathcal{V}}(A)}$ , the **profinite completion** of the free  $\mathcal{V}$  algebra over  $A$ )

# Classes of finite state automata

finite state automata  $\rightsquigarrow$  regular language  
(Kleene)

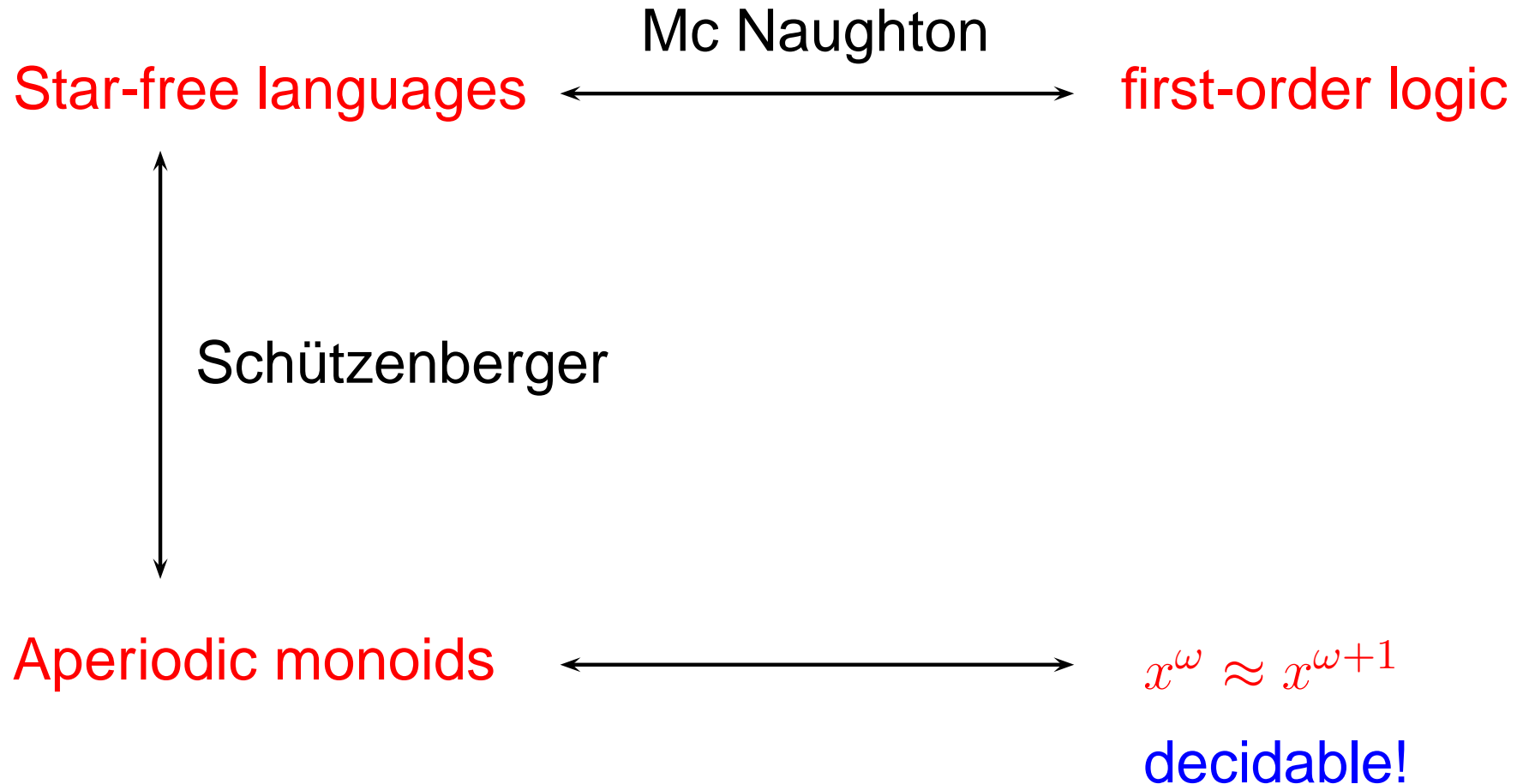
$\leftarrow\rightsquigarrow$  finite monoid  
(Myhill/Scott & Rabin)

Classes of interest:

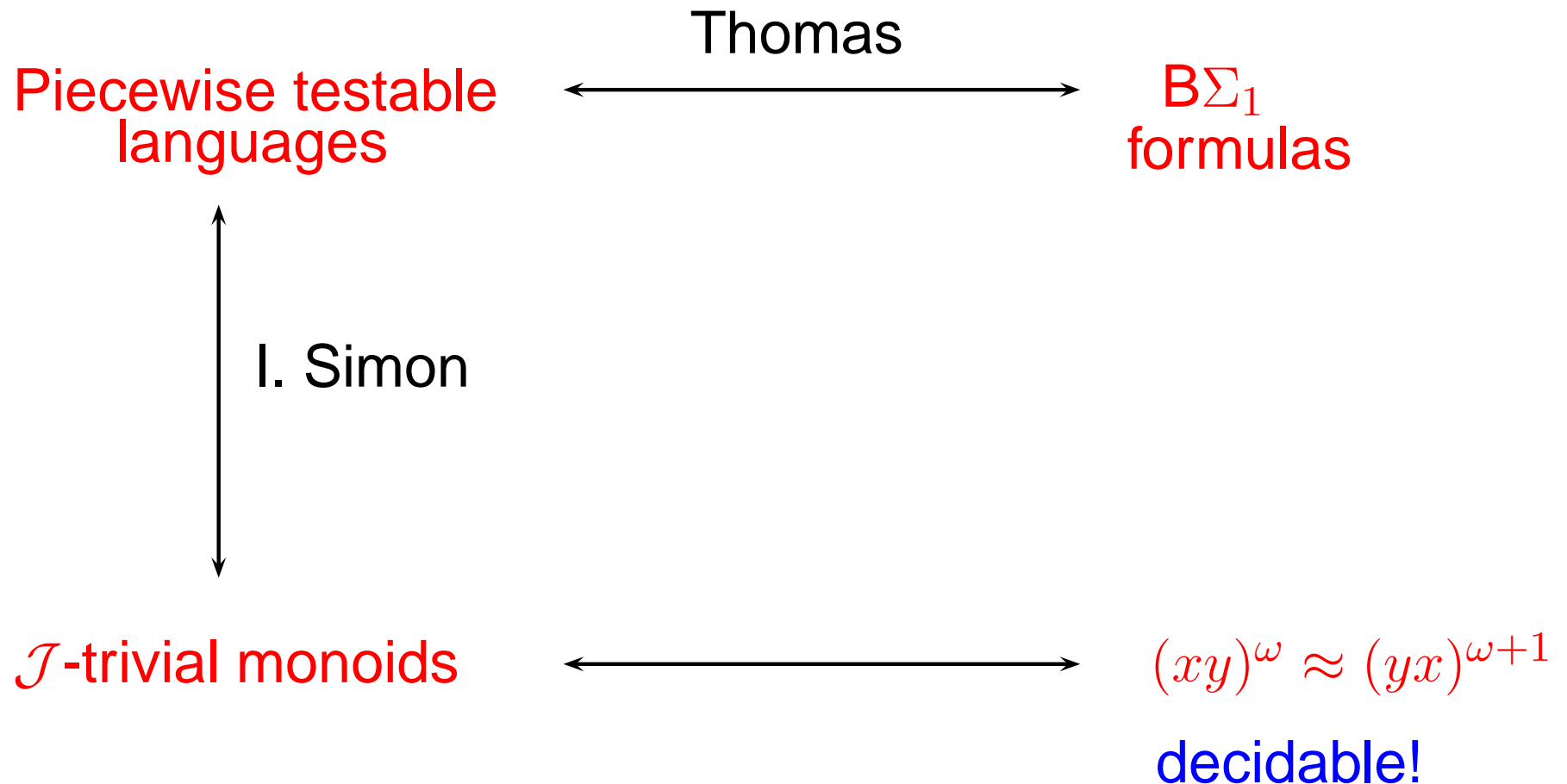
- languages with particular properties;
- automata with particular properties

Eilenberg characterized those classes that correspond to pseudovarieties of finite monoids

# Star-free languages



# Piecewise testable languages



# Encompassing more general classes

Several generalizations of Eilenberg's and Reiterman's theorems have been obtained:

- Pin (1995) + Pin-Weil (1996)
- Pippenger (1997)
- Polák (2001)
- Esik (2002), Straubing (2002) + Kunc (2003)

No one of these results provides a common and most general framework for these kinds of results

**NOT a modular collection of results**

# Profinite completions

**Theorem:** [GGP] Let  $A$  be any algebraic structure. Then the **profinite completion**

$\hat{A}$  WITH its operations

is the **extended dual space** of

$(\text{Rec}(A), \cap, \cup, ( \ )^c, \emptyset, A) +$  residuals of complexified ops

$$\text{Rec}(A) = \{ \phi^{-1}(P) \mid \phi : A \rightarrow F \text{ hom, } F \text{ finite, } P \subseteq F \}$$

$$\begin{aligned} K \cdot L \subseteq M &\iff L \subseteq K \setminus M \\ &\iff K \subseteq M/L \end{aligned}$$

# The dual of $\text{Rec}(A^*)$

The map

$$A^* \hookrightarrow X_A$$

$$u \mapsto p_u = \{L \mid u \in L\}$$

embeds  $(A^*, \cdot, 1)$  in  $(X_A, \cdot, p_1)$  as a discrete submonoid

The identity

$$(H \setminus L) / K = H \setminus (L / K)$$

holds in  $\text{Rec}(A^*)$  and dually it corresponds to the associativity of  $\cdot$ .

**Theorem:** [GGP] The dual space  $(X_A, \tau, \cdot)$  of the residuated Boolean algebra  $(\text{Rec}(A^*), \cdot, /, \setminus)$  is a totally disconnected compact topological monoid extending  $(A^*, \cdot)$

# Reitermann's pseudoterms

Duality yields a 1-1 correspondence between continuous monoid morphisms

$$\tilde{\phi} : X_A \rightarrow F, \quad F \text{ a finite monoid}$$

and maps

$$\phi : A \rightarrow F, \quad F \text{ a finite monoid.}$$

## Theorem: [GGP]

The dual space  $(X_A, \tau, \cdot)$  of the residuated Boolean algebra  $(\text{Rec}(A^*), \cdot, /, \backslash)$  is Reitermann's space of pseudoterms over  $A$  for the algebraic theory of finite monoids



# Semigroup invariants for automata

Let  $\mathcal{A}$  be a finite state automaton,  $L(\mathcal{A})$  the corresponding language. We get

$$RB(\mathcal{A}) = (\langle L(\mathcal{A}) \rangle, \{a\backslash, /a\}_{a \in A})$$

the residuation-closed Boolean subalgebra of  $\text{Rec}(A^*)$  generated by  $L(\mathcal{A})$

**Theorem:** [GGP]

The **syntactic monoid** of  $L(\mathcal{A})$  is the dual space of the algebra  $RB(\mathcal{A})$

# Classes of languages

$\mathcal{C}$  a class of regular languages closed under  $\cap$  and  $\cup$

$$\mathcal{C} \hookrightarrow \text{Rec}(A^*) \hookrightarrow \mathcal{P}(A^*)$$

DUALLY

$$X_{\mathcal{C}} \longleftarrow \widehat{A^*} \longleftarrow \beta(A^*)$$

That is,  $\mathcal{C}$  is described dually **EQUATING** elements of  $\widehat{A^*}$ .

This is Reiterman's theorem.

# Eilenberg-Reitermann theory

Using the fact that sublattices of  $\text{Rec}(A^*)$  correspond to topological quotients of  $X_A$  we get a vast generalization of Eilenberg-Reitermann theory [GGP]

Closed under	Equations	Definition
$\cup, \cap$	$u \rightarrow v$	$\hat{\eta}(u) \in \hat{\eta}(L) \Rightarrow \hat{\eta}(v) \in \hat{\eta}(L)$
quotienting	$u \leq v$	for all $x, y, xuy \rightarrow xvy$
complement	$u \leftrightarrow v$	$u \rightarrow v$ and $v \rightarrow u$
quotienting and complement	$u = v$	for all $x, y, xuy \leftrightarrow xvy$
<b>Closed under inverses of morphisms</b>		<b>Interpretation of variables</b>
all morphisms		words
non-erasing morphisms		nonempty words
length multiplying morphisms		words of equal length
length preserving morphisms		letters

# Overview

0. Introduction

1. Reiterman Theorem and classes of regular languages

2. Relational semantics for substructural logics  
[Dunn,G & Palmigiano; JSL'05]

3. Extended Stone duality and canonical extension

# Sequent calculus for IPC

The logical rules:

$$\frac{}{\phi \vdash \phi} \text{ (Id)}$$

$$\frac{\Gamma \vdash \phi \quad \Delta; \phi; \Sigma \vdash \psi}{\Delta; \Gamma; \Sigma \vdash \psi} \text{ (Cut)}$$

$$\frac{\phi; \Gamma \vdash \psi}{\Gamma \vdash \phi \rightarrow \psi} \text{ (}\rightarrow\text{R)}$$

$$\frac{\Gamma \vdash \phi \quad \Sigma, \psi \vdash \theta}{\Gamma; \Sigma; \phi \rightarrow \psi \vdash \theta} \text{ (}\rightarrow\text{L)}$$

$$\frac{\Gamma \vdash \phi \quad \Sigma \vdash \psi}{\Gamma; \Sigma \vdash \phi \wedge \psi} \text{ (}\wedge\text{R)}$$

$$\frac{\Gamma; \phi \vdash \theta}{\Gamma; \phi \wedge \psi \vdash \theta} \quad \frac{\Gamma; \psi \vdash \theta}{\Gamma; \phi \wedge \psi \vdash \theta} \text{ (}\wedge\text{L)}$$

$$\frac{\Gamma \vdash \phi}{\Gamma \vdash \phi \vee \psi} \quad \frac{\Gamma \vdash \psi}{\Gamma \vdash \phi \vee \psi} \text{ (}\vee\text{R)}$$

$$\frac{\Gamma; \phi \vdash \theta \quad \Sigma; \psi \vdash \theta}{\Gamma; \Sigma; \phi \vee \psi \vdash \theta} \text{ (}\vee\text{L)}$$

# Sequent calculus

The structural rules:

$$\frac{\Gamma ; \Delta \vdash \psi}{\Gamma ; \phi ; \Delta \vdash \psi},$$

(Thinning)

$$\frac{\Gamma ; \phi ; \phi ; \Delta \vdash \psi}{\Gamma ; \phi ; \Delta \vdash \psi},$$

(Contraction)

$$\frac{\Gamma ; \phi ; \psi ; \Delta \vdash \theta}{\Gamma ; \psi ; \phi ; \Delta \vdash \theta},$$

(Permutation)

# Substructural logic

The structural rules as logical rules:

$$\frac{\Gamma ; \Delta \vdash \psi}{\Gamma ; \phi ; \Delta \vdash \psi} \quad \text{(Thinning)}$$

destroys relevance

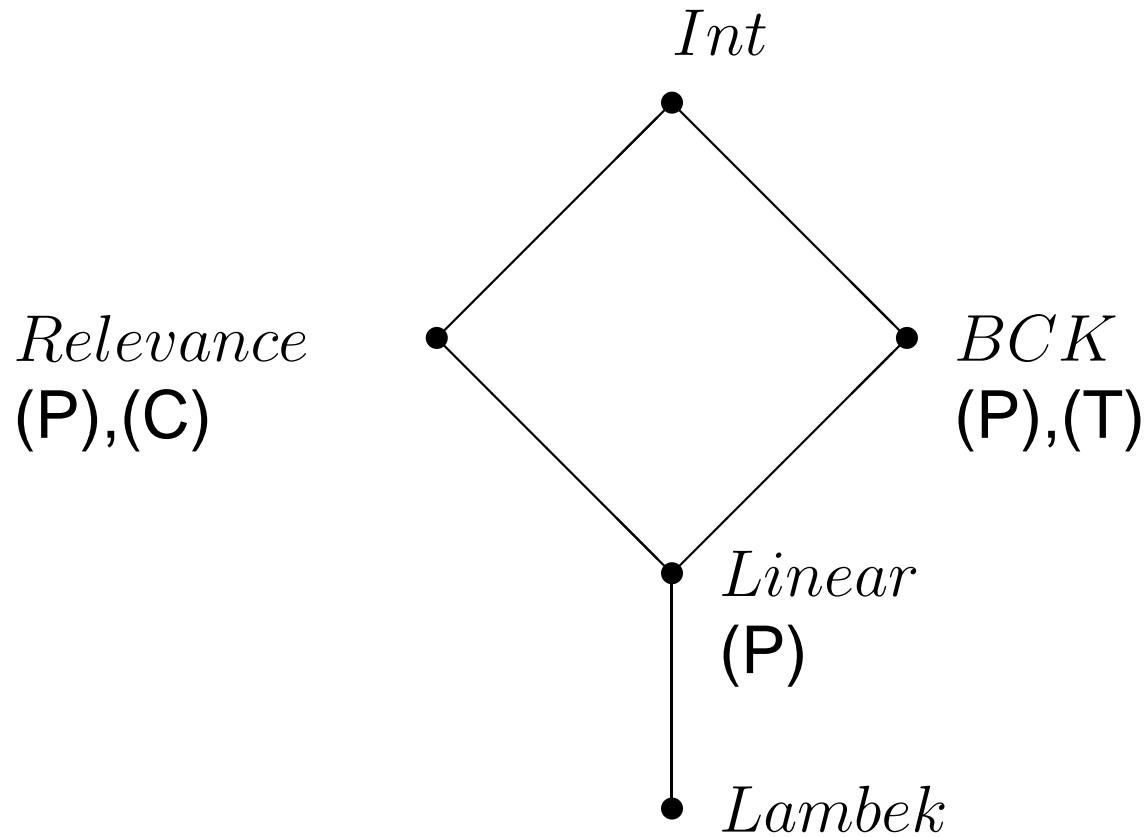
$$\frac{\Gamma ; \phi ; \phi ; \Delta \vdash \psi}{\Gamma ; \phi ; \Delta \vdash \psi} \quad \text{(Contraction)}$$

destroys resource sensitivity

$$\frac{\Gamma ; \phi ; \psi ; \Delta \vdash \theta}{\Gamma ; \psi ; \phi ; \Delta \vdash \theta'} \quad \text{(Permutation)}$$

destroys sensitivity to order of execution

# The hierarchy of substructural logics





# Algebraic semantics

$(A, \leq, \circ, \rightarrow, \leftarrow)$  residuated ordered semigroups

•  $(a \circ b) \circ c = a \circ (b \circ c)$

•  $a \circ b \leq c \iff b \leq a \rightarrow c$

$\iff a \leq c \leftarrow b$

NB! Lattice models are not necessarily distributive

Additional axioms:

$(T) \quad a \circ b \leq b$

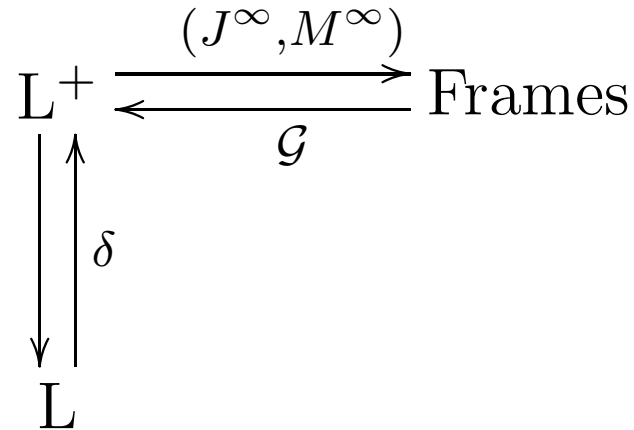
$(C) \quad a \leq a \circ a$

$(P) \quad a \circ b \leq b \circ a$

Uniform and modular relational semantics?

# Semantics for substructural logic

[DGP]



Objects in the dual category are  $F = (X, Y, \leq)$  with:

$$\forall x \forall x' (x \neq x' \implies \uparrow x \neq \uparrow x')$$

$$\forall x \exists y (x \not\leq y \text{ and } \forall x' [\uparrow x' \supset \uparrow x \implies x' \leq y])$$

and the dual statements.

# Relational semantics

[DGP]

$$F = (X, Y, \leq; R)$$

where  $(X, Y, \leq)$  is a frame

$$R \subseteq X \times Y \times X$$

satisfying

(i)  $R[\_, y, x]^{ul}$

(i)'  $R[x_1, \_, x_2]^{lu}$

(i)''  $R[x, y, \_]^{ul}$

(ii) First order condition for associativity

# Uniform and modular

[DGP]

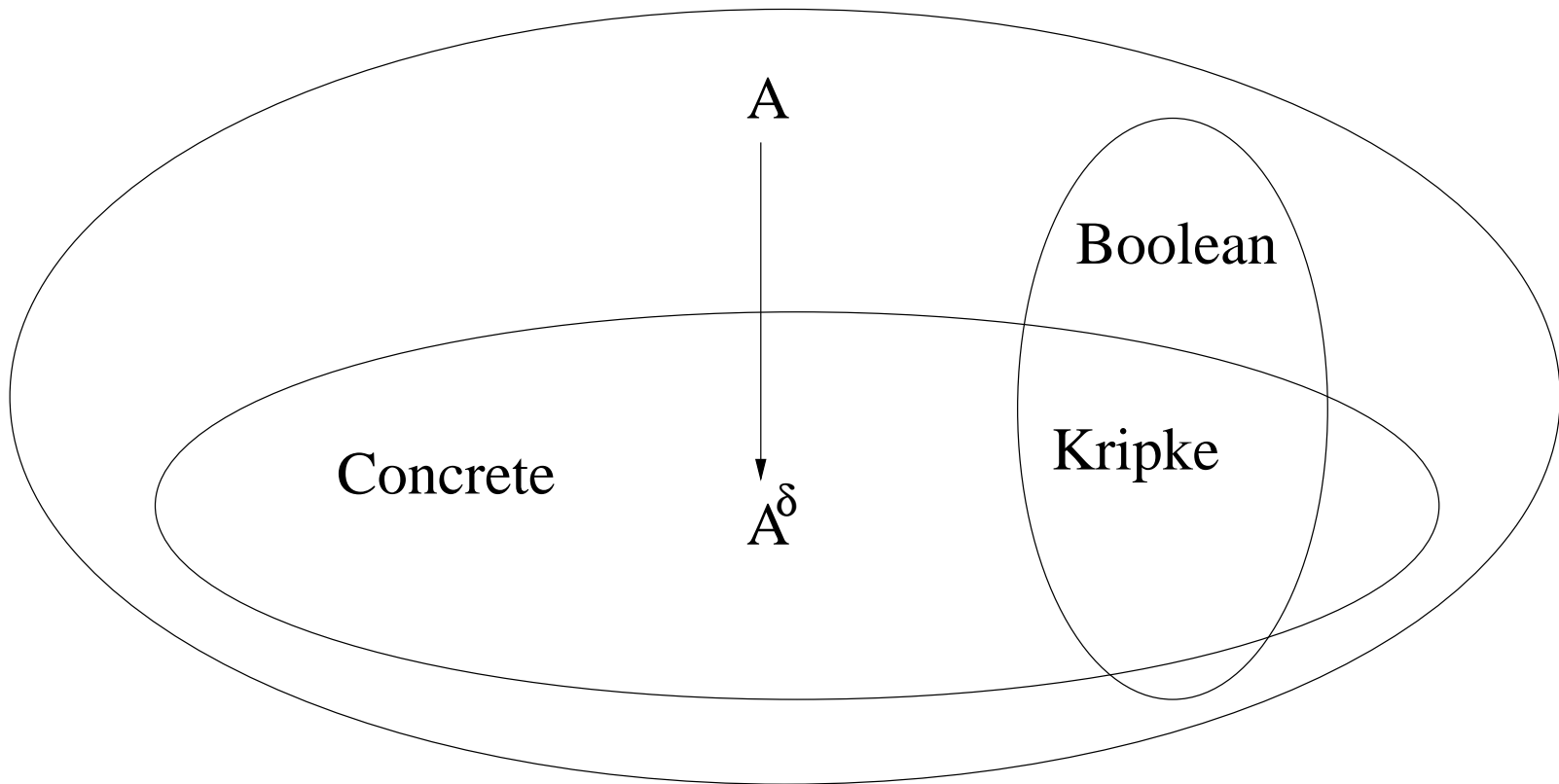
Semantics for substructural logics

(P) if and only if  $\forall x_1, x_2, y [ R(x_1, y, x_2) \iff R(x_2, y, x_1) ]$

(T) if and only if  $\forall x_1, x_2, y [ x_1 \leq y \Rightarrow R(x_1, y, x_2) ]$

(C) if and only if  $\forall x, y [ R(x, y, x) \Rightarrow x \leq y ]$

# Classes of algebraic models



Concrete  $\iff F^+$  for some  $F = (X, Y, \leq, R)$

Kripke  $\iff F^+$  for some  $F = (X, R)$

# Overview

0. Introduction

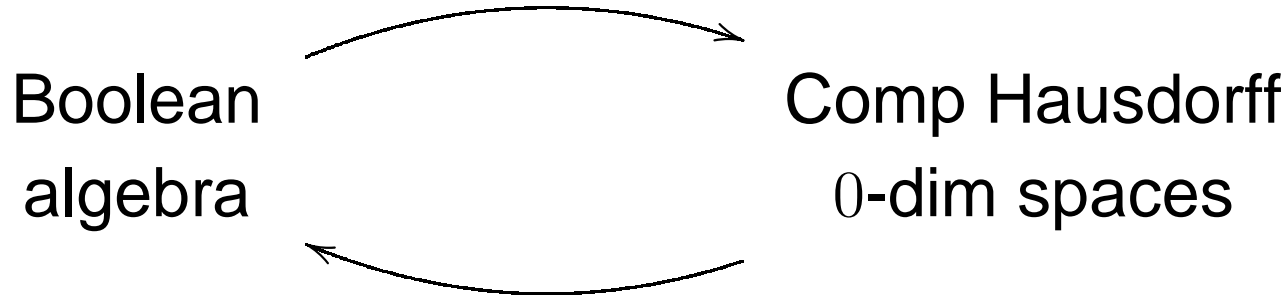
1. Reiterman Theorem and classes of regular languages

2. Relational semantics for substructural logics

3. Extended Stone duality and canonical extension  
(with Jónsson, Harding, Venema, Priestley, . . .)

# Extended Stone duality

[Goldblatt '89]



◇  $n$ -ary normal op

$R_\diamond$   $(n + 1)$ -ary relation

point closed

pre-im of closed is closed

H,S

genSub, p-morphic image

$(\mathbf{P}_{fin} \leq) \mathbf{P}_{Boo}, \mathbf{P}_\mu$

(fin.) Boo. disj. union, ?

# Algebraic approach

[Jónsson & Tarski '51]

$$A \xrightarrow{\alpha} (\mathcal{P}(X), \cap, \cup, ( \ )^c, \emptyset, X) = A^\delta$$
$$a \mapsto \hat{a} = \{ \mu \mid a \in \mu \}$$

This completion is characterized by

- $\alpha$  is **dense**: Every element of  $A^\delta$  is a join of meets of elements from  $A$  (and dually)
- $\alpha$  is **compact**: For every filtering subset  $F \subseteq A$  and any directed subset  $I \subseteq A$ , if

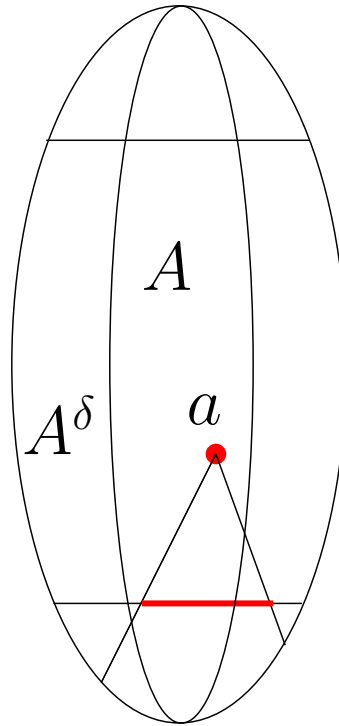
$$\bigwedge F \leq \bigvee I \quad \text{in } A^\delta$$

then  $a \leq b$  for some  $a \in F$  and  $b \in I$



# Canonical extensions approach

Both  $X_A$   
and  $A$   
sit inside  
 $A^\delta$



$$M^\infty(A^\delta) \cong X_A$$

$$J^\infty(A^\delta) \cong X_A$$

$$\hat{a} = \{x \mid x \leq a\}$$

$$J^\infty(A^\delta) \subseteq K(A^\delta) = \text{meet closure of } A \text{ in } A^\delta$$

$$M^\infty(A^\delta) \subseteq O(A^\delta) = \text{join closure of } A \text{ in } A^\delta$$

# Canonical extension of maps

[Jónsson-Tarski'51; G-Jónsson'04]

$$\begin{aligned} f^\sigma(u) &= \liminf f(u) \\ &= \bigvee \{ \bigwedge f([x, y] \cap L) \mid K(A^\delta) \ni x \leq u \leq y \in O(A^\delta) \} \end{aligned}$$

Lower semi-continuous envelope which is  $(\delta, \iota^\uparrow)$ -continuous

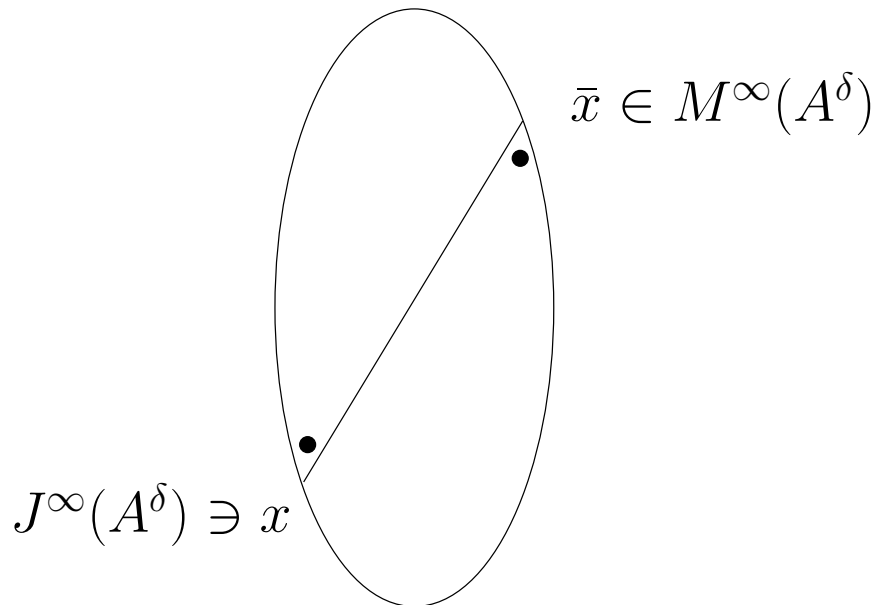
$$\begin{aligned} f^\pi(u) &= \limsup f(u) \\ &= \bigwedge \{ \bigvee f([x, y] \cap L) \mid K(A^\delta) \ni x \leq u \leq y \in O(A^\delta) \} \end{aligned}$$

Upper semi-continuous envelope which is  $(\delta, \iota^\downarrow)$ -continuous

# Duals of operations

$$f \mapsto f^\sigma \mapsto f^\sigma \upharpoonright J^\infty(A^\delta) \\ = \{(x, y) \mid x \leq f^\sigma(y)\} := R_f$$

$$f \mapsto f^\pi \mapsto f^\pi \upharpoonright M^\infty(A^\delta) \\ = \{(x, y) \mid \bar{x} \leq f^\pi(\bar{y})\} := S_f$$



# Applications within ML

- Algebraic version of the Fine-van Benthem-Goldblatt theorem:

If  $\mathcal{K}$  is a class of MAs so that  $P_\mu(\mathcal{K})$  is canonical, then  $\mathcal{V}(\mathcal{K})$  is canonical [G & Jónsson '99 or '04]

- Algebraic version of the crucial lemma in this connection:

Given any MA  $A$ , there is a non-standard extension  $A^*$ , so that

$$A^\delta \hookrightarrow DM(A^*)$$

[G,Harding, Venema; TAMS '05]

Corollary: If a variety is closed under MacNeille completion, then it is closed under canonical extension

# OBS

This theory just depends on the **existence** of a **dense and compact completion** and **some infinite distributivity**

Distributivity in canonical extensions:

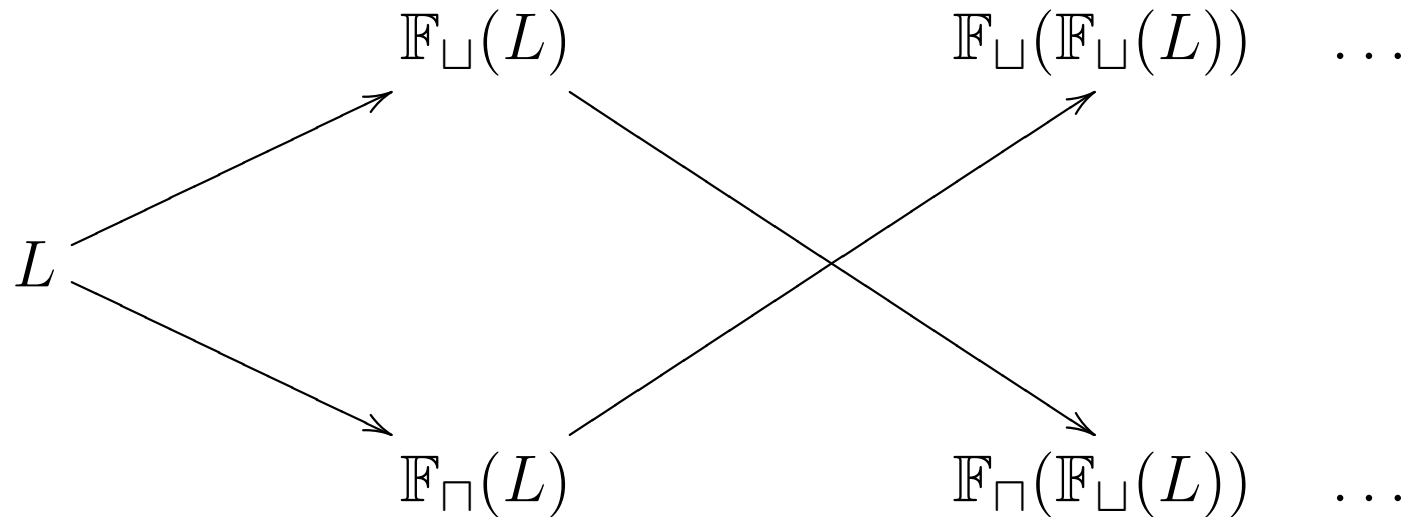
[G & Harding; JAlg '01]

If  $F_i$  is filtering in  $A$  for each  $i \in I$  then in  $A^\delta$

$$\bigvee_i (\bigwedge F_i) = \bigwedge_{\substack{\Phi : I \rightarrow \bigcup F_i \\ \text{choice funct.}}} \bigvee \text{Im}(\Phi)$$

and dually

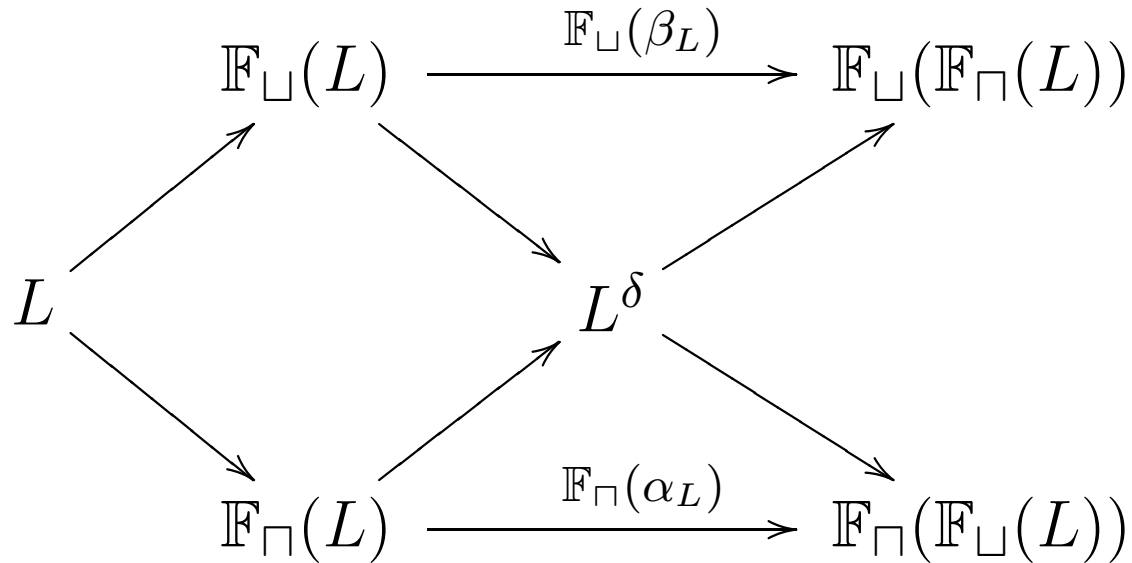
# Hierarchy of completions



- In general there is no free 2-sided completion
- What is the 2-sided interpolant, the  $\Delta_i$ -completion, for  $i = 1, 2, \dots$ ?

# $\Delta_1$ -completion

[G & Priestley; MLQ '08]



$\Delta_0$ -completion = Dedekind-MacNeille completion

$\Delta_1$ -completion = **Jónsson-Tarski canonical completion**

# Existence of canonical extension

- For BAOs [Jónsson & Tarski '51]
- For Stone algebras [Comer '89]
- For DLOs [G & Jónsson '94]
- For DLEs [G & Jónsson '99]
- For LEs [G & Harding '01]
- For POMs [Dunn, G & Palmigiano '05]